**METHODOLOGICAL GUIDELINES FOR PRACTICAL EXERCISES**

**On topic 1. Introduction to financial monitoring. Legal Basis of Financial Monitoring**

**The purpose of practical training: to consolidate students' knowledge about the content and economic essence of financial monitoring, the legal framework of financial monitoring.**

Description of the assignment. The student must do the following tasks:

Task 1. Questioning on the topic.

Task 2. Testing.

Online testing in the "ZOOM" system.

**On topic 2. Information and analytical systems of financial monitoring**

**The aim of the practical training: to strengthen students' knowledge of information and analytical systems of financial monitoring.**

**Assignment 1. Oral questioning.**

Task 2. testing.

Testing online in the "ZOOM" system

Checklists on the topic to be discussed:

1. Financial monitoring system

2. Analytical indicators for analyzing the financial condition of an organization

3. Organizing a baseline data analysis system

**On topic 3. Committee for Financial Monitoring of the Republic of Kazakhstan and its functions**

**The purpose of practical training: to strengthen the students' knowledge of the Financial Monitoring Committee of the Republic of Kazakhstan and its functions.**

**Assignment 1. Survey on the topic.**

Task 2. Test.

Online testing in the "ZOOM" system.

Task 3. Discussion.

Control questions on the topic to be discussed:

1. The functions of the Committee do NOT include.

2. The tasks of the Committee are:

3. Who is personally responsible for carrying out the tasks and functions of the Committee?

4. How many segments of the financial market of Kazakhstan

On topic 4. Financial intelligence as a function of financial monitoring

The purpose of the practical lesson: to strengthen students' knowledge of financial intelligence as a main function of financial monitoring.

1. Task 1: Survey on the topic.
2. Task 2. Testing.

Online testing in the "ZOOM" system.

Control questions on the topic to be discussed:

1. What is "financial intelligence"?

2. How is "financial intelligence" broadly understood?

3. Which sources of risk can be influenced by some form of prevention?

4. Which categories do not fall under financial intelligence surveillance?

5. What is the name of the group that the Financial Intelligence Unit of the Republic of Kazakhstan - Committee on Financial Monitoring of the Ministry of Finance of the Republic of Kazakhstan (CFM) joined in July 2011?

6.What is the function included in the CPM objectives?

**On topic 5. Financial diagnostics**

**The aim of the practical lesson: consolidate students' knowledge of financial diagnostics.**

Task 1. Survey on the topic.

Task 2. Testing.

Online testing in the "ZOOM" system.

Task 3. Problem solution for calculating coefficients.

**On topic 6. Financial monitoring and assessment of the value of organizations of the quasi-public sector**

**The aim of the practical training: to strengthen students' knowledge of financial monitoring and assessment of the value of organizations of the quasi-public sector.**

Task 1. Work in teams, "Business game".

Task 2. Problem solution in coefficient calculation.

Control questions on the topic to be discussed:

1. 1. What is meant by "quasi-governmental sector"?

2. List the entities that fall under the quasi-public sector?

3. What statute defines the subjects of the quasi-public sector?

4. Which group, refers to state-owned enterprises?

5. Find a group of business entities with the legal form of a joint-stock company that corresponds to the quasi-public sector.

6. What news do you know about a major financing of a quasi-public sector entity in the last 3 years?

**On topic 7. International financial monitoring standards.**

**The aim of the practical training: to strengthen students' knowledge of the International Financial Monitoring Standards.**

Task 1. Survey on the topic.

Task 2. Testing.

Online testing in the "ZOOM" system.

**On the topic 10. The National Bank of the Republic of Kazakhstan as a control and supervision body for the financial market and financial organizations.**

**The purpose of the workshop: to consolidate students' knowledge of the National Bank of the Republic of Kazakhstan as a regulator and supervisor of the financial market and financial organizations.**

Task 1. A survey of the subject.

Task 2. Solving case studies.

Task 3. Working in a team.

Control questions on the topic to be discussed:

1. Legal basics of banking activities. 2.

2. The legal status of the National Bank of the Republic of Kazakhstan.

3. Legal status of commercial banks

4. Goals and functions of financial market regulation

5. Types of financial market regulation

6. Bodies of state regulation in Kazakhstan

**On topic 11. Consolidated Financial Supervision of Banking Groups.**

**The aim of the practical course: to consolidate students' knowledge about the consolidated financial supervision of bank groups.**

Assignment 1. Survey on the topic.

Task 2. Solving case studies

Task 3. Teamwork

**On topic 12. Supervisory Functions of the State Internal Audit Committee**

**The purpose of the practical training: to strengthen the knowledge of students about the oversight functions of the State Internal Audit Committee.**

Assignment 1. A discussion of the topic.

Task 2. Press conference "Identification of irregularities and development of remedies".

Task 3. Individual contribution to proposal development

**On topic 13. Financial supervision of financial transactions on the foreign exchange and securities market**

**The aim of the practical course is to strengthen students' knowledge about financial supervision of financial operations on the foreign exchange and securities market.**

Assignment 1. Survey on the topic.

Task 2. Solving case studies

Task 3. Teamwork

**On the theme 14. Legislative and Regulatory Regulation and Supervision of Financial Market Segments**

**The aim of the practical course is to improve the students' knowledge of legislative and regulatory control and supervision of financial market segments.**

Assignment 1. Survey on the topic.

Task 2. Testing.

Online testing in the "ZOOM" system.

**On topic 15. International financial monitoring and supervision of financial organizations**

**The aim of the practical training: to strengthen students' knowledge about international financial monitoring and supervision of financial organizations**

Assignment 1. Preparing a presentation.

Task 2. Defending provisions of the presentation.